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Register for the Conference on Insurance and Financial Services Industry Litigation and receive Rethinking Executive Compensation: Recent Developments for only $79 – a savings of $20.

See registration form for details.

HOTEL ACCOMMODATIONS / GROUP RATE
The Langham Hotel Boston blends the legendary hospitality of the original Langham grand hotel with the classic architectural elegance of Boston’s former Federal Reserve Bank building.

Reserve your hotel room by June 19 to take advantage of the group rate of $245 per night. You must mention this ALI-ABA registration number when you call to reserve your hotel room by June 19 to take advantage of the group rate of $245 per night. If you need to make changes to your reservations, you may do so by calling or writing to The Langham Hotel, 250 Franklin Street, Boston, MA 02110, phone 617-422-5163. Confirmations will be sent by the hotel. Please read the cancellation policy carefully.

With a compact format, the conference provides:
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Class action filings against the financial services industry surged in 2008 to a level of intensity not seen since the passage of the 1995 Private Securities Litigation Reform Act. Nowadays, more than ever, counsel dealing with financial services litigation should attend this conference to stay on top of the most important litigation developments affecting the industry. Plaintiffs' and defense counsel, federal and state regulators, and a federal judge discuss and debate the key issues! Whether in private practice or the industry, you will find in this program a thorough review and update, and an important primer on litigation tactics in all of the important and relevant areas.

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Thursday, July 9, 2009
3:30 a.m. Networking and Registration Session

Webcast Segment A
8:30 a.m. Opening Remarks and Course Overview -- Mr. Jorden
8:45 a.m. Class Action Developments in Annuity Sales Practice Cases: Life Insurance, IOLI, 411(k) and 419 Litigation; Market Timing and Mutual Fund Litigation — Messrs. Carroll, Gallagher, Leventhal, Miles, and Vaughan
10:00 a.m. Networking Break
10:15 a.m. Class Action Developments (continued)
12:15 p.m. Lunch Break
1:30 p.m. Class Action Developments (continued)
3:00 p.m. Networking Break

Webcast Segment B
3:15 p.m. Retirement Plan, ERISA, and Related Litigation Developments — Mr. Bondurant and Messrs. Dean, Fleckner, Mauer, and Pflepsen
5:30 p.m. Adjournment for the Day

Saturday, July 11, 2009
8:30 a.m. Networking Session

Webcast Segment C
9:00 a.m. "A Split in the Circuits" or just "Variations on a Theme" — The Developing Law of Standards for Class Certification — Judge Selna and Messrs. Druliner (invited); Howett, Jorden, Miter, and Vaughan
11:00 a.m. Networking Break
11:15 a.m. The Litigation Impact of Rule 151A Adoption and the Continuing Regulatory Battles over Suitability Standards between "Securities" Regulation and "Insurance" Regulation — Messrs. Feltzer, Gallagher, Jorden, Mumford, and Sonnenberg
1:00 p.m. Adjournment

Total 60 minute hours of instruction: 11

Note: The discussions include at least one full hour on ethics and professional responsibility issues, accepted as such by most, but not all, MCLE jurisdictions.

13 CPE credit hours in Business Law (group-live and group-internet-based program)

PROGRAM HIGHLIGHTS
Class Action Developments in Annuity Sales Practice Cases: Life Insurance, IOLI, 411(k) and 419 Litigation; Market Timing and Mutual Fund Litigation
• Developments in class certifications, RICO decisions, related state law issues, federal multi-district litigation activity, and settlements
• Important decisions in consolidated multidistrict litigation and related insurance product class actions
• Developments in mutual fund class actions and decisions in continuing "market timing" cases

The litigation Impact of Rule 151A Adoption and Continuing Regulatory Battles over Suitability Standards between “Securities” Regulation and “Insurance” Regulation
• Implications for litigation of SEC adoption of recent test for regulation of fixed annuities as securities
• Likely activities of state and federal regulators - FINRA and State Securities Commissioners
• Implications of suitability and “security” determinations on variable, index, and other fixed products under federal securities and state investment adviser regulations

Retirement Plan, ERISA, and Related Litigation Developments
• Class actions against providers and pension plan sponsors in the 401(k), 403(b), and 403(b) markets associated with the payment of fees by mutual funds and other expense and cost charges
• Update of the most important ERISA decisions of the year, including the U.S. Supreme Court’s decision in Metropolitan Life v. Glenn (2008) addressing the standard for reviewing benefit determinations by a conflicted plan fiduciary
• Review of recent decisions in various 401(k) and related cases

A “Split in the Circuits” or just “Variations on a Theme” — The Developing Law of Standards for Class Certification
Perhaps no other issue involving class certification has presented as much controversy and intense debate as the issue addressed in the 2nd Circuit’s decision in in re PUC, i.e., the “rigorous analysis” standards imposed on District Court judges in assessing evidence with respect to each of the requirements of Rule 23. This panel is moderated by a U.S. District Court Judge and involves both plaintiff and defense counsel who are active participants in numerous class action cases.

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8:30 a.m. Networking Session

Webcast Segment C
9:00 a.m. "A Split in the Circuits" or just "Variations on a Theme" — The Developing Law of Standards for Class Certification — Judge Selna and Messrs. Druliner (invited); Howett, Jorden, Miter, and Vaughan
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PLANNING CHAIR (also on faculty)
James F. Jorden, Jorden Burt LLP, Washington, D.C.

FACULTY
Elizabeth J. Bondurant, Smith Moore Leatherwood LLP, Atlanta
James R. Carroll, Ballard, Arbin, Atlas, Seaguar & Flom LLP, Boston
Ronald G. Dean, Law Offices of Ronald Dean, L.C., Pacific Palisades, California
Glen DeValerio, Bernard DeValerio LLP, Boston (invited)
Charles Joshua Felker, Assistant Director, Division of Enforcement, Securities and Exchange Commission, Washington, D.C.
James O. Fleckner, Goodwin Procter LLP, Boston
Paul L. Gallagher, Vice President & Counsel, John Hancock Financial Services, Boston
Timothy D. Hauser, Associate Solicitor, Plan服务区(412(i) and 419 Litigation; Market Timing and Mutual Fund Litigation
Paul A. Howell, Jr., Deputy General Counsel,ING America Legal Services, Atlanta
Markham R. Leventhal, Jorden Burt LLP, Miami
Daniel "Dee" Miles, III, Motive, Ports & MC, P.C., Montgomery, Alabama
James R. Mumford, First Deputy Commissioner, Division of Insurance, Department of Commerce, State of Iowa, Des Moines
Waldemar J. Pflepsen, Jr., ING America Legal Services, Atlanta
Elizabeth J. Bondurant, Smith Moore Leatherwood LLP, Atlanta
Patti B. Saris, Vice President & Counsel, John Hancock Financial Services, Boston

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50-minute states. In NY, this course is appropriate for both states; and for 13.2 credits, including 1.2 ethics credits, in 60-minute states. For specific information regarding ALI-ABA’s administrative policies, such as complaint and refund, please call Customer Service at (800) CLE-NEWS.

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Limited experience in legal practice

Suggested Prerequisite: 13 in Business Law (group-live and group-internet-based program).

**Learning Objectives**

Acquisition of knowledge and skills to develop

- Insight and analysis of the topics current and the panelists are excellent.

- Updates on the most important developments in class actions involving the marketing, sale, and administration of ﬁnancial and insurance products

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**SUBSTANTIAL GROUP DISCOUNTS AVAILABLE for live and webinar attendance**

11 MCLE credit hours: 13 in Business Law, and 11 credits in Professional Practice (NASBA accreditation).

11 CPE credit hours: 11 in Business Law.

With a compact format, the conference provides:

- Complete overview of recent trends in insurance and financial services industry litigation
- Previous course registrant

Conference on Insurance and Financial Services Industry Litigation
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Plaintiffs’ and Defense Perspectives Analyzed at the 14th Annual Advanced ALI-ABA Conference on Insurance and Financial Services Industry Litigation

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